



Rajasthan State Pollution Control Board

Headquarter, 4, Institutional Area, Jhalana Doongri, Jaipur-302004

Phone :0141-5159699,5159604 e-mail : member-secretary@rpcb.nic.in

TollFreeHelpLineNo. : 18001806127 Ext. 7

F12/ (PSC1) RPCB/Gen / 2108-2151/2151

Date: 20-10-21

Office Order

In partial modification to earlier office order dated 01.07.2021 regarding checklist of documents, following documents required to be submitted/uploaded while applying for Authorization under Hazardous and Other Waste (Management and Trans-boundary Movement) Rules, 2016 and for Consent to Establish/Operate/Authorization/Registration under E-Waste (Management) Rules, 2016 and Bio-Medical Waste Management Rules, 2016 have been annexed as per following checklist:-

1. Check list of documents for applications for authorization under Rule 6 of the Hazardous and Other Waste (Management & Trans-boundary Movement) Rules, 2016- Annexure I-A.
2. Check list for Generators of HW seeking authorization for transportation also (fresh and renewal applications) - Annexure I-B.
3. Check list for users/ recyclers of HW not seeking authorization for transportation (fresh and renewal applications) - Annexure I-C.
4. Check list for users/ recyclers of HW seeking authorization for transportation also (fresh and renewal applications) - Annexure I-D.
5. Additional documents in case of expansion/ enhancement of waste category or change in category or inclusion of additional category (Applicable for all the above stated four cases) - Annexure I-E.
6. Check list of documents to be enclosed alongwith the application for Consent to Establish under the Air Act and the Water Act and applicable fee for E-waste - Annexure II-A.
7. Check list of documents to be enclosed alongwith the application for Consent to Operate under the Air Act and the Water Act and applicable fee for e-waste recycler/ dismantler/ refurbisher - Annexure II-B.
8. Check List of Documents for Authorization Under E-Waste (Management) Rules, 2016- Fresh/ Renewal- Annexure II-C.
9. Check list of documents to be enclosed along with the application for Consent to Establish under the Air Act and the Water Act and applicable fee for Health Care Facilities (HCFs) – Annexure III-A.
10. Check list of documents to be enclosed along with the application for Consent to Operate under the Air Act and the Water Act and applicable fee for Health Care Facilities (HCFs) – Annexure III-B.
11. Check List of documents to be enclosed alongwith the application for Consent to Establish under the Air Act and the Water Act for Mines - Annexure IV-A.
12. Check List of documents to be enclosed alongwith the application for Consent to Operate under the Air Act and the Water Act for Mines - Annexure IV-A.



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The checklist of documents shall remain applicable for all other sectors as issued vide State Board Office order no. F12(PSC1)RPCB/Gen/1297-1330 dated 01.07.2021.

This bears approval of the competent authority.

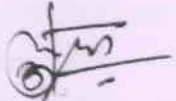

(Anand Mohan)
Member Secretary

F12/(PSC1)RPCB/Gen/2408-2151

Date: 20-10-21

Copy forwarded to the following for information and necessary action:

1. P.S. to Chairperson, RSPCB, Jaipur.
2. Sr.PA to Member Secretary, RSPCB, Jaipur.
3. The CEE/CSO/CAO/HOO, RSPCB, Jaipur.
4. The Group In-Charge, CPP/Textile/Mines & SCMG DS/ HOGM/ MUID/ PDF, CD & Legal/ Training Project & IEC/ Plastic & Planning/ Liquid, E-waste, Hazardous Waste Cell/ BMW, ECC, / Solid & PCV, Complaints, Grievances, VIP & EC Compliance/ RTI & Cess, RSPCB, Jaipur.
5. The Regional Officer, Regional Office, RSPCB, Alwar/ Balotra/ Bharatpur/ Bhiwadi/ Bhilwara/ Bikaner/ Chittorgarh/ Jaipur South/ Jaipur North/ Jodhpur/ Kishangarh/ Kota/ Pali/ Sikar/ Udaipur/ Banswara/ Bundi/ Hanumangarh/ Jaisalmer/ Jhalawar/ Jhunjhunu/Nagaur/Rajsamand/Sawai Madhopur/Sirohi/.
6. GIC (IT), with directions to upload on the State Board's website.


Member Secretary

Check list of documents for applications for authorization under Rule 6 of the Hazardous and Other Waste (Management & Trans-boundary Movement) Rules, 2016

A Check list for Generators of HW (Fresh application) (other than authorization for transportation)

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self declaration in specified format.
6. Authority letter.
7. Emergency Response Plan.
8. Photograph of display board at the main gate of the premises.
9. Photographs of storage facility.
10. Copy of membership of common facility for disposal of wastes and/ or agreement/ MoU with authorized recyclers/ re-processor/ co-processor/ pre-processor.
11. Copy of authorization of actual user of HW

B Check list for Generators of HW not seeking authorization for transportation (renewal application) – Documents in addition to those required for fresh applications

1. Compliance of conditions of last authorization.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self declaration in specified format.
6. Authority letter.
7. Compliance of conditions of last authorization.

Shreejit Path
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A. Check list for Generators of HW seeking authorization for transportation also (fresh applications)

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self declaration in specified format.
6. Authority letter.
7. Emergency Response Plan.
8. Photographs of display board at the main gate of the premises.
9. Photographs of storage facility.
10. Copy of membership of common facility for disposal of wastes and/ or agreement with recycler re-processor/ co-processor/ pre-processor.
11. Copy of authorization of actual user of HW
12. Ownership documents of vehicles or copy of MoU with the transporter/ owner of vehicle.
13. Photographs of vehicles from all sides to show that the vehicles conform to the guidelines dated 19.01.2010 issued by the Board.
14. Permit of vehicles.
15. Qualification details of driver and experience certificate
16. Bank guarantee for an amount of Rs. 1.00 lac.
17. Legal undertaking on non-judicial stamp paper of Rs. 100 in specified format.

B. Check list for Generators of HW seeking authorization for transportation also (application for renewal)-Documents required:

Documents in addition to those required for fresh applications

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self declaration in specified format.
6. Authority letter.
7. Compliance of conditions of last authorization.

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Annexure-I-C

A Check list for users/ recyclers of HW not seeking authorization for transportation (Fresh application)

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self-declaration in specified format.
6. Authority letter.
7. Emergency Response Plan which should address procedures for dealing with emergency situations (viz spillage or release on fire) as specified in the Guidelines of CPCB.
8. Photograph of display board at the main gate of the premises.
9. Photographs of storage facility.
10. Copy of membership of common facility for disposal of wastes or agreement with recycler re-processor/ co-processor/ pre-processor.
11. Copy of authorization of actual user of HW
12. Compliance status of SOP issued by MoEF-CC/ CPCB or copy of permission of CPCB under Rule 9.

B Check list for users/ recyclers of HW not seeking authorization for transportation (renewal application) – Documents required:

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self-declaration in specified format.
6. Authority letter.
7. Compliance of conditions of last authorization.
8. Photocopy of Passbook.

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Check list for users/ recyclers of HW seeking authorization for transportation also (Fresh application)

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self-declaration in specified format.
6. Authority letter.
7. Emergency Response Plan which should address procedures for dealing with emergency situations (viz spillage or release on fire) as specified in the Guidelines of CPCB.
8. Photograph of display board at the main gate of the premises.
9. Photographs of storage facility.
10. Copy of membership of common facility for disposal of wastes or agreement with recycler re-processor/ co-processor/ pre-processor.
11. Copy of authorization of actual user of HW
12. Compliance status of SOP issued by MoEF-CC/ CPCB or copy of permission of CPCB under Rule 9.
13. Ownership documents of vehicles or copy of MoU with the transporter/ owner of vehicle.
14. Photographs of vehicles from all sides to show that the vehicles conform to the guidelines dated 19.01.2010 issued by the Board.
15. Registration Certificate of vehicle.
16. Bank guarantee for an amount of Rs. 1.00 lac.
17. Legal undertaking on non-judicial stamp paper of Rs. 100 in specified format.

B Check list for users/ recyclers of HW seeking authorization for transportation also (renewal application) – Documents required:

1. Form of authorization duly filled up.
2. Prescribed fee.
3. Copy of consent to establish granted under Water Act and Air Act.
4. Copy of consent to operate granted under Water Act and Air Act.
5. Self-declaration in specified format.
6. Authority letter.
7. Compliance of conditions of last authorization
8. Photocopy of Passbook

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Annexure-I-E

Additional documents in case of expansion/ enhancement of waste category or change in category or inclusion of additional category (Applicable for all the above stated four cases)

- 1 Reasons/ justifications for enhancing quantity of wastes or change in category or inclusion of additional category
- 2 Compliance of conditions of last authorization

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Check list of documents to be enclosed alongwith the application for Consent to Establish under the Air Act and the Water Act and applicable fee for E-waste

S. No.	Required Documents
1	Self-declaration regarding applicability of mandatory documents (Point no. 4) by the authorized signatory (i.e. Owner/ Director / Proprietor)
2	Feasibility Report on pollution control measures (as generic structure)
3	Document of land (converted/ allotted for e-waste processing plant)
4	a) Enclose proof of application before CGWA/ NOC issued by CGWA for abstraction of ground water Or b) Self Declaration to effect that ground water will not be abstracted (as mentioned at Point no. 1) NOC from CGWA is not required.
5	List of name and addresses of all directors/partners.
6	Project Report certified by Chartered Accountant
7	UdhyogAadhar/DIC acknowledgement/Udhyam Registration.
8	Layout plan showing total available space/area within the unit for dismantling/recycling/re-furbishing operations, individually.

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Annexure II- B

Check list of documents to be enclosed alongwith the application for Consent to Operate under the Air Act and the Water Act and applicable fee for e-waste recycler/ dismantler/ refurbisher

S.No.	Required Documents
1	Point wise evidence based compliance report of previously granted CTE/CTO along with certificates/ documents vis-à-vis: a) Proof of Production b) Analysis/ monitoring report of different sources of emission/effluent/others, as applicable, from State Board Laboratory or laboratories recognized by MoEF or CPCB along with copy of accreditation of laboratory.* c) Status of pollution control measures d) Compliance of consent conditions
2	List of Name and addresses of all directors/partners.
3	Latest Certificate of Chartered Accountant showing capital investment, without depreciation on land, building, plant and machinery
4	List of plant & machinery installed by the unit.
5	Space available for processing of e-waste for dismantling/recycling/re-furbishing operations, individually.

Note: * Analysis/ monitoring report of different sources of emission/effluent/others are needed with applications for renewal of consent only.

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Annexure II-C

CHECK LIST OF DOCUMENTS FOR AUTHORIZATION UNDER E-WASTE (MANAGEMENT) RULES, 2016

A. FRESH

S. No.	Documents
1.	Affidavit in prescribed format
2.	UdhyogAadhar/DIC acknowledgement/Udhyam Registration.
3.	Copy of valid Consent to operate under Water/Air Acts or Proof of submission of CTO application
4.	Copy of valid authorization under Hazardous & Other Waste (Management & Transboundary movement) Rules, 2016 or proof of submission of authorization application under Hazardous & Other Waste (Management & Transboundary movement) Rules, 2016.
5.	Proposal/Agreement/MoU to prove as a part of producer channelization system. (Applicable on recycler only)
6.	Agreement/Proposal of connectivity to either Producers or PRO or e-waste exchange or take back system or authorized recycler. (Applicable on dismantler only)
7.	Self-declaration regarding applicability of EPR authorization or copy of EPR authorization obtained from CPCB (Applicable on re-furbisher only)

B. RENEWAL

S. No.	Documents
1.	Affidavit in prescribed format
2.	UdhyogAadhar/DIC acknowledgement/Udhyam Registration.
3.	Copy of valid Consent to operate under Water/Air Acts
4.	Point wise compliance report of last authorization issued under e-waste rules
5.	Point wise compliance report of consent to operate.
6.	Point wise compliance report of authorization issued under Hazardous & Other Waste (Management & Transboundary movement) Rules, 2016
7.	Copy of old passbook issued under E-Waste (Management) Rules, 2016/ Self declaration.

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Annexure III A

Check list of documents to be enclosed along with the application for Consent to Establish under the Air Act and the Water Act and applicable fee for Health Care Facilities(HCFs).

S. No.	Required Documents
1	Self declaration regarding applicability of mandatory documents (Point no. 2,4,5,6,7) by the authorized signatory (i.e. Owner/ Director / Proprietor/ Superintendent/Principal Medical Officer)
2	a) Feasibility Report on pollution control measures along with Proposals for sewage treatment plant of appropriate capacity. (For HCFs having more than 50 beds) Or b) Self declaration to affect that bed capacity of the HCF is ____ Therefore feasibility report is not required.
3	Document of land / Lease agreement /Rent deed.
4	a) Enclose proof of application before CGWA/ NOC issued by CGWA for abstraction of ground water Or b) Self Declaration to affect that ground water will not be abstracted (as mentioned at Point no. 1)/ NOC from CGWA is not required.
5	a) Details of total built up area with map approved by competent authority to assess applicability of Environmental Clearance (For HCFs having more than 100 beds). Or b) Self declaration to affect that bed capacity of the HCF is ____ therefore details of built up area are not required.
6	a) Copy of Environmental Clearance under EIA Notification, 2006 (For HCFs having total built up area of 20000 square meter and above) Or b) Self Declaration to effect that industry does not fall under the provisions of EIA Notification, 2006/ total built up area is less than 20000 square meter (as mentioned at Point no. 1) Or c) Copy of application for Environmental Clearance submitted.
7	a) Copy of Clearance from NBWL [Only for those projects where EC is required] Or b) certificate of concerned DCF in the prescribed format stating that project can be allowed to operate in accordance with the provisions laid down under the forest and Wild life Acts/ rules/ norms and various court orders presently in force (only for the project/ industry/ activity/ mines covered under schedule of EIA Notification 2006)
8	List of Name and addresses of all Directors/Partners/Proprietor/ Superintendent/ Principal Medical Officer.

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Annexure III B

Check list of documents to be enclosed along with the application for Consent to Operate under the Air Act and the Water Act and applicable fee for Health Care Facilities(HCFs)

S.No.	Required Documents
1	Self declaration regarding applicability of mandatory documents [Point no – 2(b)] by the authorized signatory (i.e. Owner/ Director / Proprietor/ Superintendent/Principal Medical Officer).
2	Point wise evidence based compliance report of previously granted CTE/CTO/authorisation and EC (as applicable) along with certificates/ documents vis-à-vis: a) Details of stack height and acoustic enclosure with DG set(s) if any. Or b) Self declaration to effect that HCF does not have any DG Set. c) Compliance of consent/authorization conditions. d) Compliance of EC conditions, if applicable.
3	Copy of membership of Common Bio-Medical Waste Treatment and Disposal Facility/agreement with authorized and registered recycler/re-processors of Bio-Medical waste or authorized incinerator/Deep Burial Pits, valid as on date.
4	Annual Accident Report in format prescribed under Rules.
5	Annual Report in format prescribed under Rules.
6	List of Name and addresses of all Directors/Partners/Proprietor/ Superintendent/ Principal Medical Officer.

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Annexure IV-A

Check List of documents to be enclosed alongwith the application for Consent to Establish under the Air Act and the Water Act (For Mines).

S. No.	Documents
1.	Self declaration regarding applicability of mandatory documents by the authorized signatory (i.e. Owner/Director/ Proprietor).
2.	Copy of valid mining lease deed.
3.	Feasibility Report of Pollution Control Measures (As generic structure) (In case of mines having area 5 Hect. or above).
4.	a) Proof of application before CGWA / NOC issued by CGWA for abstraction of ground water or b) Self declaration to effect that ground water will not be abstracted (as mentioned at Point no. 1) / NOC from CGWA is not required.
5.	Copy of Environmental Clearance under EIA Notification, 2006.
6.	a) Copy of Clearance from NBWL Or b) Certificate of concerned DCF that no NP/WLS/CTH is located within 10 KM periphery of the mine.
7.	List of name and addresses of all directors / partners

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Annexure IV-B

Check List of documents to be enclosed alongwith the application for 'Consent to Operate under the Air Act and the Water Act (For Mines).

S. No.	Requirement Documents
1.	Self-declaration regarding applicability of mandatory documents (Point no. 3 and specific sector-wise requirement, as the case may be) by the authorized signatory (i.e. Owner/Director/ Proprietor).
2.	Point wise evidence based compliance report of previously granted CTE/CTO and EC (as applicable) alongwith certificate / documents vis – a – vis: a) Copy of online production certificate issued by DMG (i.e. acknowledgement) self-certified. b) Analysis / monitoring report report of different sources of emission/ effluent/ others, as applicable, from State Board Laboratory or laboratories recognized by MoEF or CPCB alongwith copy of accreditation of laboratory. c) Compliance of consent conditions. d) Compliance of EC conditions.
3.	a) Copy of Clearance from NBWL Or b) Certificate of concerned DCF that no NP/WLS/CTH is located within 10 KM periphery of the mine.

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